FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* POLK RYAN JAMES						2. Issuer Name and Ticker or Trading Symbol Carbon Black, Inc. [CBLK]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last)	`	(First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 07/26/2019										er (give title	ema	Other (
1100 WINTER STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable					
(Street)															ine) X Form filed by One Reporting Person					
l` ′	WALTHAM MA 02451														Form filed by More than One Reporting Person					
(City)	City) (State) (Zip)																			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3) 2. Transa Date (Month/D					Exe if ar	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		n Dispos	4. Securities Acquired (Disposed Of (D) (Instr. and 5)			Securi Benefi Owned	cially	For (D)	irect (I)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amour		A) or D)	Price				etr. 4)	(Instr. 4)	
Common Stock 07/26/20)19			М		14,0	00	A	\$5.9	8 82	82,953		D		
Common Stock 07/26/20					2019	019			S ⁽¹⁾		14,0	14,000		\$19	6	68,953		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Dee Execution if any (Month/	on Date,	4. Transact Code (In 8)			lumber ivative urities juired or posed D) itr. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		str. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	OI N of	umber						
Stock Option (Right to Buy)	\$5.98	07/26/2019			M			14,000	(2)		01/26/2027	Comm		4,000	\$0	158,000)	D		

Explanation of Responses:

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 17, 2018.
- 2. The stock option vests 25% on January 1, 2018 and the remainder vests in equal monthly installments such that the option will be fully vested on January 1, 2021.

Remarks:

Title: Senior Vice President and Chief Product Officer

/s/ Eric Pyenson, attorney-in-07/30/2019 <u>fact</u>

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.